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**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
Debtor.

Chapter 11

Case No. 08-13555 (JMP)

(Jointly Administered)

In re:

LEHMAN BROTHERS INC.,  
Debtor.

SIPA Proceeding

Case No. 08-01420 (JMP)

LEHMAN BROTHERS HOLDINGS INC.,

Plaintiff,

v.

BARCLAYS CAPITAL INC.,

Defendant.

Adversary No. 09-01731 (JMP)

**BARCLAYS CAPITAL INC.'S COUNTER-DESIGNATION OF ADDITIONAL ITEMS  
TO BE INCLUDED IN THE RECORD ON APPEAL IN CONNECTION WITH LBHI'S  
APPEAL FROM THE JULY 15, 2011 ORDERS OF THE BANKRUPTCY COURT**

Barclays Capital Inc. (“Barclays”), by and through its attorneys, hereby submits, under Federal Rule of Bankruptcy Procedure 8006, the following counter-designation of items for inclusion in the appellate record in connection with the appeal of Lehman Brothers Holdings Inc. Barclays reserves its right to designate additional items for inclusion in the record and/or restate issues presented on appeal if any motions are granted subsequent to the filing of this designation which affect the appealed Orders. For items designated, the designation includes all documents referenced with the particular document number including, without limitation, all exhibits, attachments, declarations and affidavits related thereto.

**DESIGNATION OF RECORD**

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**Documents Filed in Both Case Nos. 08-01420 and 08-13555 (JMP)**  
**(In re Lehman Brothers Inc. and In re Lehman Brothers Holdings, Inc.)**

<b>Counter-Designation No.</b>	<b>Filing Date</b>	<b>Docket No.</b> (08-01420 listed first)	<b>Description (Docket Text)</b>
1	10/01/2008	47  504	Statement / <i>Notice of Revisions to Schedules of Certain Contracts and Leases Assumed and Assigned to Purchaser, with Exhibit A, dated October 1, 2008</i> 107 258, filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc.. (Granfield, Lindsee) Modified on 10/8/2008 (Philbert, Gemma). (Entered: 10/01/2008)
2	10/02/2008	51  564	Statement/ <i>Notice of Revised Exhibit A to Notice of Revisions to Schedules of Certain Contracts and Leases Assumed and Assigned to Purchaser dated October 2, 2008</i> (related document(s) 504) filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc.. (Granfield, Lindsee) (Entered: 10/02/2008)
3	10/10/2008	89  786	Statement: <i>Lehman Brothers Holdings Inc. et al.'s Statement of Issues Presented on Appeal and Counterdesignation of Additional Items to be Included in the Record on Appeal in Connection with the Appeal of Bay Harbour Management L.C., Bay Harbour Master Ltd. et al. from Orders of Bankruptcy Court Entered September 20, 2008</i> filed by Harvey R. Miller on behalf of Lehman Brothers Holdings Inc.. (Miller, Harvey) (Entered: 10/10/2008)
4	10/10/2008	91  801	Statement: <i>Lehman Brothers Holdings Inc. et al.'s Amended Statement of Issues Presented on Appeal and Counterdesignation of Additional Items to be Included in the Record on Appeal in Connection with the Appeal of Bay Harbour Management L.C., Bay Harbour Master Ltd. et al. from Orders of Bankruptcy Court Entered September 20, 2008</i> (related document(s) 786) filed by Harvey R. Miller on behalf of Lehman Brothers Holdings Inc.. (Miller, Harvey) (Entered: 10/10/2008)

5	10/10/2008	92 809	Motion to Reconsider FRCP 60 or FRBP 3008/ <i>Notice of Barclays Capital Inc. for Relief Concerning Certain Contracts Erroneously Posted with the "Closing Date Contracts", dated October 10, 2008</i> filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. with hearing to be held on 11/5/2008 (check with court for location) Responses due by 10/27/2008, (Attachments: # 1 Exhibit 1 to Exhibit A) (Schweitzer, Lisa) (Entered: 10/10/2008)
6	10/10/2008	93 810	<i>Declaration of Luke A. Barefoot, dated October 9, 2008</i> (related document(s) 809) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. (Schweitzer, Lisa) (Entered: 10/10/2008)
7	10/10/2008	94 811	<i>Declaration of Mario Mendolaro, dated October 9, 2008</i> (related document(s) 809) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. (Schweitzer, Lisa) (Entered: 10/10/2008)
8	10/12/2008	111 896	Statement of Issues / <i>Barclays Capital, Inc.'s Statement of Issues Presented on Appeal and Counterdesignation of Additional Items to be Included in the Record on Appeal in Connection with the Appeal of Bay Harbour Management L.C., Bay Harbour Master Ltd. et al. from Orders of Bankruptcy Court Entered September 20, 2008, dated October 13, 2008</i> (related document(s) 262, 801, 502) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. (Schweitzer, Lisa) (Entered: 10/13/2008)
9	12/02/2008	367 1986	Reply to Motion/ <i>Reply to Responses to Motion of Barclays Capital Inc. for Relief Concerning Certain Contracts Erroneously Posted with the "Closing Date Contracts", dated December 2, 2008</i> (related document(s) 809) filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc.. (Granfield, Lindsee) (Entered: 12/02/2008)
10	07/30/2009	1321 4524	Confidentiality Stipulation and Protective Order Between the Examiner, Debtors, Trustee, Creditors' Committee and Barclays Capital Inc. Signed on 7/30/2009. (related document(s) 4424) (Nulty, Lynda) (Entered: 07/30/2009)

11	9/24/2009	1747 5270	Motion to Join <i>JOINDER OF DEBTOR LBHI WITH THE COMMITTEE'S MOTION PURSUANT TO 11 U.S.C. §§ 105(a) AND 107(a) TO UNSEAL MOTIONS FOR RELIEF MODIFYING THE SEPTEMBER 20, 2008 SALE ORDER (AND RELATED SIPA SALE ORDER</i> (related document(s) 5269) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4) (Hine, William) (Entered: 09/24/2009)
12	9/24/2009	1745 5271	Statement <i>Trustee's Joinder in Motion Of Official Committee Of Unsecured Creditors Of Lehman Brothers Holdings Inc., et al., Pursuant To 11 U.S.C. Sections 105(a) and 107(a), To Unseal Motions For Relief From September 20, 2008 Sale Order (And Related SIPA Sale Order)</i> (related document(s) 5269) filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 10/15/2009 at 02:00 PM at Courtroom 601 (JMP) Objections due by 10/9/2009, (Maguire, William) (Entered: 09/24/2009)
13	10/04/2009	1941 5482	So Ordered Stipulation and Order Signed on 10/14/2009 Concerning Scheduling Between the Debtors, Trustee, Committee and Barclays Capital, Inc. (Nulty, Lynda) (Entered: 10/14/2009)
14	12/02/2009	2140 6005	Motion to Compel <i>Production of Documents from the Trustee and the Committee Based on Privilege Waiver</i> filed by Hamish Hume on behalf of Barclays Capital, Inc.. with hearing to be held on 12/10/2009 at 02:00 PM at Courtroom 601 (JMP) (Hume, Hamish) (Entered: 12/02/2009)
15	12/02/2009	2141 6006	Affidavit of <i>Todd Thomas in Support of Barclays' Motion to Compel Production of Documents from the Trustee and the Committee Based on Privilege Waiver</i> (related document(s) 6005) filed by Hamish Hume on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6# 7 Exhibit 7) (Hume, Hamish) (Modified on 12/2/2009 to Attach Corrected Exhibit 7) (Richards, Beverly). (Entered: 12/02/2009)

16	12/07/2009	2269  6065	Opposition Brief <i>Trustee's Memorandum of Law in Opposition to Barclays' Motion to Compel Production of Documents from the Trustee and Committee Based on Privilege Waiver</i> filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 12/10/2009 at 02:00 PM at Courtroom 601 (JMP) (Maguire, William) (Entered: 12/07/2009)
17	12/07/2009	2270  6066	Declaration of William R. Maguire in Support of the Trustee's Memorandum of Law in Opposition to Barclays' Motion to Compel Production of Documents from the Trustee and Committee Based on Privilege Waiver filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 12/10/2009 at 02:00 PM at Courtroom 601 (JMP) (Maguire, William) (Entered: 12/07/2009)
18	12/07/2009	2278  6067	Objection to Motion/ <i>Objection of Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc., et al., to Motion of Barclays Capital, Inc. to Compel Production of Documents from Trustee and Committee Based on Privilege Waiver</i> (related document(s) 6005) filed by James Tecce on behalf of Official Committee of Unsecured Creditors. (Tecce, James) (Entered: 12/07/2009)
19	12/09/2009	2303  6080	Reply to Motion <i>Barclays' Reply to the Responses of the Trustee and the Committee to Barclays' Motion to Compel Production of Documents from the Trustee and the Committee Based on Privilege Waiver</i> (related document(s) 6005) filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Schiller, Jonathan) (Entered: 12/09/2009)
20	01/12/2010	2516  6623	Amended Confidentiality Stipulation and Protective Order signed on 1/12/2010 By and Between Barclays Capital Inc., Lehman Brothers Holdings, Inc., Weil, Gotshal & Manges LLP, the Trustee for the SIPA Liquidation of Lehman Brothers Inc., and the Official Committee of Unsecured Creditors of Lehman Brothers Holdings, Inc. (related document(s) 6338) (Nulty, Lynda) (Entered: 01/12/2010)

21	01/29/2010	2581 6814	Notice of Hearing <i>Notice of Hearing of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. Objections due by 3/4/2010, (Attachments: # 1 Pleading Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets# 2 Pleading Proposed Order)(Schiller, Jonathan) (Entered: 01/29/2010)
22	01/29/2010	2583 6816	Statement <i>Volume I of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 1 - 9# 2 Exhibit Exhibits 10 - 13# 3 Exhibit Exhibits 14 - 15# 4 Exhibit Exhibits 16 - 21# 5 Exhibit Exhibits 22 - 25# 6 Exhibit Exhibits 26 - 29) (Schiller, Jonathan) (Entered: 01/29/2010)
23	01/29/2010	2584 6817	Statement <i>Volume II of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 30 - 40# 2 Exhibit Exhibits 41 - 46# 3 Exhibit Exhibits 47 - 49) (Schiller, Jonathan) (Entered: 01/29/2010)
24	01/29/2010	2585 6818	Statement <i>Volume III of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 50 - 53# 2 Exhibit Exhibits 54 - 55# 3 Exhibit Exhibits 56 - 58# 4 Exhibit Exhibits 59 - 62# 5 Exhibit Exhibits 63 - 66) (Schiller, Jonathan) (Entered: 01/29/2010)

25	01/29/2010	2586 6819	Statement <i>Volume IV of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 67 - 69# 2 Exhibit Exhibits 70 - 73# 3 Exhibit Exhibits 74 - 76# 4 Exhibit Exhibits 77 - 78# 5 Exhibit Exhibits 79 - 80# 6 Exhibit Exhibits 81 - 83) (Schiller, Jonathan) (Entered: 01/29/2010)
26	01/29/2010	2587 6820	Statement <i>Volume V of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 84 - 86# 2 Exhibit Exhibits 87 - 91# 3 Exhibit Exhibits 92 - 96# 4 Exhibit Exhibits 97 - 101# 5 Exhibit Exhibits 102 - 130) (Schiller, Jonathan) (Entered: 01/29/2010)
27	01/29/2010	2588 6821	Statement <i>Volume VI of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibit 131# 2 Exhibit Exhibits 132 - 140# 3 Exhibit Exhibits 141 - 154# 4 Exhibit Exhibits 155 - 173# 5 Exhibit Exhibits 174 - 179# 6 Exhibit Exhibits 180 - 221) (Schiller, Jonathan) (Entered: 01/29/2010)
28	01/29/2010	2589 6822	Statement <i>Volume VII of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 222 - 250# 2 Exhibit Exhibits 251 - 282# 3 Exhibit Exhibits 283 - 319# 4 Exhibit Exhibits 320 - 338) (Schiller, Jonathan) (Entered: 01/29/2010)



29	01/29/2010	2590 6823	Statement <i>Volume VIII of Appendix to Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 339 - 341# 2 Exhibit Exhibit 342# 3 Exhibit Exhibit 343# 4 Exhibit Exhibits 344 - 350# 5 Exhibit Exhibits 351 - 370# 6 Exhibit Exhibits 371 - 380) (Schiller, Jonathan) (Entered: 01/29/2010)
30	02/11/2010	2653 7073	So Ordered Stipulation and Order Signed on 2/11/2010 Between LBHI, SIPA Trustee and Creditors Committee and Barclays Regarding Scheduling. (Nulty, Lynda) (Entered: 02/11/2010)
31	03/04/2010	2771 7410	Opposition Brief <i>Memorandum of Barclays Capital Inc. in Opposition to the Rule 60 Motions and in Support of Motion of Barclays Capital Inc. to Enforce the Sale Order and Secure Delivery of All Undelivered Assets [Corrected]</i> (related document(s) 6815) filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Schiller, Jonathan) (Entered: 03/04/2010)
32	03/16/2009	2829 7608	Notice of Hearing/ <i>Notice of Hearing on Certain Motions Filed by LBHI, the SIPA Trustee, the Creditors' Committee and Barclays Capital Inc.</i> (related document(s) 5169, 5148) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 4/9/2010 (check with court for location) (Hine, William) (Entered: 03/16/2010)
33	04/01/2010	2943 7992	Opposition Brief <i>Memorandum of Barclays Capital Inc. in Opposition to Motion in Limine Seeking to Compel Admission of Disputed Facts</i> (Redacted) (related document(s) 7502) filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. with hearing to be held on 4/9/2010 at 10:00 AM at Courtroom 601 (JMP) (Attachments: # 1 Exhibit A# 2 Exhibit B) (Schiller, Jonathan) (Entered: 04/01/2010)

34	04/02/2010	2957  8015	Motion to Strike/ <i>Motion in Limine for an Order Excluding the Expert Testimony of Professor Paul Pfleiderer</i> filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 4/26/2010 at 10:00 AM at Courtroom 601 (JMP) Responses due by 4/19/2010, (Attachments: # 1 Exhibit A - part 1# 2 Exhibit A - part 2# 3 Exhibits B-E) (Hine, William) (Entered: 04/02/2010)
35	04/05/2010	2997  8077	Statement <i>Appendix To Reply Memorandum Of Barclays Capital Inc. In Further Support Of Motion Of Barclays Capital Inc. To Enforce The Sale Order And Secure Delivery Of All Undelivered Assets - Volume IX</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit 457# 2 Exhibit 469# 3 Exhibit 470# 4 Exhibit 472# 5 Exhibit 475# 6 Exhibit 476# 7 Exhibit 484# 8 Exhibit 485# 9 Exhibit 504# 10 Exhibit 505# 11 Exhibit 514# 12 Exhibit 517# 13 Exhibit 521# 14 Exhibit 522# 15 Exhibit 523# 16 Exhibit 524# 17 Exhibit 627# 18 Exhibit 628) (Schiller, Jonathan) (Entered: 04/05/2010)
36		2998  8078	Statement <i>Appendix To Reply Memorandum Of Barclays Capital Inc. In Further Support Of Motion Of Barclays Capital Inc. To Enforce The Sale Order And Secure Delivery Of All Undelivered Assets - Volume X</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit 630# 2 Exhibit 631# 3 Exhibit 632# 4 Exhibit 633# 5 Exhibit 634# 6 Exhibit 635# 7 Exhibit 636# 8 Exhibit 637# 9 Exhibit 638# 10 Exhibit 639# 11 Exhibit 640# 12 Exhibit 641# (13) Exhibit 642# 14 Exhibit 643# 15 Exhibit 644# 16 Exhibit 645# 17 Exhibit 646# 18 Exhibit 647# 19 Exhibit 648# 20 Exhibit 649) (Schiller, Jonathan) (Entered: 04/05/2010)

37	04/14/2010	3097 8331	Motion to Compel <i>Motion By Barclays Capital Inc. For An Order Compelling Documents From LBHI, The Trustee, The Creditors Committee And Their Financial Advisors Deloitte, FTI, Alvarez &amp; Marsal, And Houlihan Lokey</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. with hearing to be held on 4/26/2010 at 10:00 AM at Courtroom 601 (JMP) Responses due by 4/23/2010, (Attachments: # 1 Exhibit Affidavit of Hamish P.M. Hume# 2 Exhibit Exhibits 1 - 23) (Schiller, Jonathan) (Entered: 04/14/2010)
38	04/19/2010	3146 8467	Opposition Brief <i>Memorandum Of Barclays Capital Inc. In Opposition To Motion In Limine For An Order Excluding The Expert Testimony Of Professor Paul Pfleiderer</i> (related document(s) 8015) filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. with hearing to be held on 4/26/2010 at 10:00 AM at Courtroom 601 (JMP) (Attachments: # 1 Exhibit Exhibits A - D) (Schiller, Jonathan) (Entered: 04/19/2010)
39	04/20/2010	3162 8510	Motion to Strike <i>Motion In Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of John P. Garvey, Mark E. Slattery, Joseph Schwaba, John J. Schneider, John J. Olvany, Harrison J. Goldin, and Professor Mark E. Zmijewski</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. with hearing to be held on 5/3/2010 at 10:00 AM at Courtroom 601 (JMP) Responses due by 4/26/2010, (Attachments: # 1 Exhibits A - E# 2 Exhibits F - L# 3 Exhibits M - Q# 4 Notice of Hearing# 5 Proposed Order) (Schiller, Jonathan) (Entered: 04/20/2010)
40	04/22/2010	3175 8568	Objection to Motion <i>Objection of Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc., et al. to Motion of Barclays Capital, Inc. for an Order Compelling Documents From LBHI, Trustee, Creditors Committee and Their Financial Advisors Deloitte, FTI, Alvarez &amp; Marsal, and Houlihan Lokey</i> filed by James Tecce on behalf of Official Committee of Unsecured Creditors. (Attachments: # (1) Exhibit 1# (2) Exhibit 2# (3) Exhibit 3# (4) Exhibit 4) (Tecce, James) (Entered: 04/22/2010)

41	04/22/2010	3176 8569	Opposition / <i>Debtors' Brief in Opposition to the Motion by Barclays Capital Inc. for an Order Compelling Documents from LBHI, the Trustee, the Creditors' Committee and Their Financial Advisors Deloitte, FTI, Alvarez &amp; Marsal, and Houlihan Lokey</i> filed by William J. Hine on behalf of Lehman Brothers Holdings Inc. (Hine, William) (Entered: 04/22/2010)
42	04/23/2010	3185 8623	Objection to Motion <i>Trustee's Objection to Barclays' Motion to Compel Production of Documents from LBHI, the Trustee, the Committee and their Financial Advisors</i> (related document(s) 8331) filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 4/26/2010 at 09:30 AM at Courtroom 601 (JMP) (Maguire, William) (Entered: 04/23/2010)
43	04/23/2010	3186 8624	<i>Declaration of Seth D. Rothman in Support of the Trustee's Objection to Barclays' Motion to Compel Production of Documents from LBHI, the Trustee, the Committee and their Financial Advisors</i> filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Maguire, William) (Entered: 04/23/2010)
44	07/21/2010	3498 10351	Opposition/ <i>Memorandum of Movants in Opposition to the Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of John P. Garvey, Mark E. Slattery, Joseph Schwaba, John J. Schneider, John J. Olvany, Harrison J. Goldin, and Professor Mark E. Zmijewski</i> (related document(s) 8510) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Hine, William) (Entered: 07/21/2010)

45	07/21/2010	3499  10352	Declaration/ <i>Declaration of Jayant W. Tambe in Support of Memorandum of Movants in Opposition to the Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of John P. Garvey, Mark E. Slattery, Joseph Schwaba, John J. Schneider, John J. Olvany, Harrison J. Goldin, and Professor Mark E. Zmijewski</i> (related document(s) 10351) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Attachments: # 1 Exhibits 1-8# 2 Exhibits 9-10# 3 Exhibit 11, Part 1# 4 Exhibit 11, Part 2# 5 Exhibit 11, Part 3# 6 Exhibit 12# 7 Exhibits 13-15) (Hine, William) (Entered: 07/21/2010)
46	07/21/2010	3501  10354	Reply to Motion/ <i>Reply Memorandum in Support of Motion in Limine for an Order Excluding the Expert Testimony of Professor Paul Pfleiderer</i> (related document(s) 2957) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Hine, William) (Entered: 07/21/2010)
47	07/21/2010	3502  10355	Declaration/ <i>Declaration of Todd R. Geremia</i> (related document(s) 10354) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Attachments: # 1 Exhibits 1-2# 2 Exhibits 3-8# 3 Exhibit 9, Part 1# 4 Exhibit 9, Part 2# 5 Exhibits 10-16) (Hine, William) (Entered: 07/21/2010)
48	08/16/2010	3564  10806	Reply to Motion <i>Reply Memorandum in Further Support of Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of Joseph Schwaba, Mark E. Slattery, Professor Mark E. Zmijewski, Harrison J. Goldin, John J. Schneider, and John P. Garvey</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Schiller, Jonathan) (Entered: 08/16/2010)

49	08/16/2010	3565 10807	<i>Affidavit Declaration of Hamish Hume in Support of Reply Brief in Further Support of Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of Joseph Schwaba, Mark E. Slattery, Professor Mark E. Zmijewski, Harrison J. Goldin, John J. Schneider, and John P. Garvey</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Appendix Index to Appendix# 2 Appendix Volume 1# 3 Appendix Volume 2# 4 Appendix Volume 3# 5 Appendix Volume 4)(Schiller, Jonathan) (Entered: 08/16/2010)
50	08/30/2010	3625 11070	<i>Letter Letter Brief on the Admissibility of BCI Exhibits 488, 493, 501, 502, 667-670, 808, 809, and 865</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Schiller, Jonathan) (Entered: 08/30/2010)
51	08/30/2010	3626 11072	<i>Affidavit Declaration of Hamish Hume in Support of Letter Brief for the Admissibility of BCI Exhibits 488, 493, 501, 502, 667-670, 808, 809, and 865</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Appendix)(Schiller, Jonathan) (Entered: 08/30/2010)
52	01/06/2011	4020 13824	<i>So Ordered Stipulation and Order Signed on 1/6/2011 Between the Trustee and Barclays Concerning Certain Claims Under Paragraph 8(ii) of the Clarification Letter Made in the Motion and Adversary Complaint Filed by the Trustee and the Motion to Enforce the Sale Order Filed by Barclays.</i> (Nulty, Lynda) (Entered: 01/06/2011)
53	04/28/2011	4260 16419	<i>Memorandum of Law Supporting the Positions of Barclays Capital Inc. Relating to the Orders Implementing the Court's February 22, 2011 Decision</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc. (Schiller, Jonathan)
54	05/04/2011	4272 16591	<i>Reply to Motion Reply Memorandum Supporting the Positions of Barclays Capital Inc. Relating to the Orders Implementing the Court's February 22, 2011 Decision</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc. (Attachments: # 1 Exhibit 1-8) (Schiller, Jonathan)

55	07/15/2011	4407 18541	Order signed on 7/15/2011 Denying Motion of Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc., et al., Pursuant to 11 U.S.C. Section 105(a), Fed. R. Civ. P. 60(b), and Fed. R. Bankr. P. 9024, for Relief From Order Under 11 U.S.C. Sections 105(a), 363, and 365 and Federal Rules of Bankruptcy Procedure 2002, 6004 and 6006 Authorizing and Approving (A) Sale of Purchased Assets Free and Clear of Liens and Other Interests and (B) Assumption and Assignment of Executory Contracts and Unexpired Leases, Dated September 20, 2008 (and Related SIPA Sale Order). (Related Doc # 1686) (Lopez, Mary) (Entered: 07/15/2011)
56	07/28/2011	4445 18849	<i>Notice of Appeal</i> (related document(s) 14612, 18541) filed by James Tecce on behalf of Official Committee of Unsecured Creditors. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C)(Tecce, James) (Entered: 07/28/2011)

**Documents Filed in Case No. 08-01420 (JMP)**  
**(In re Lehman Brothers Inc.)**

<b>Counter-Designation No.</b>	<b>Filing Date</b>	<b>Docket No.</b>	<b>Description (Docket Text)</b>
57	09/19/2008	1	Adversary case 08-01420. <i>Complaint against Lehman Brothers Inc.</i> Order signed on 9/19/2008 by United States District Court Judge, Gerard E. Lynch. Nature(s) of Suit: (SS (SIPA Case - 15 U.S.C. 78aaa et. seq.)) James W. Giddens Appointed as Trustee and Shall File a Bond in the Amount of \$100,000.00. Filed by Hughes Hubbard & Reed, LLP on behalf of Securities Investors Protection Corporation. (Attachments: #1 Exhibit Cover Sheet) (Ebanks, Liza) Docket Text Modified on 9/30/2008 (Bush, Brent) (Receipt #USDC 663671 \$350.00 Added) Modified on 10/21/2008 (Richards, Beverly). Modified on 10/29/2008 (Gomez, Jessica). (Entered: 09/19/2008)
58	09/19/2008	2	Motion to Approve <i>an Order Authorizing the Sale of Purchased Assets and Other Relief in the Lehman Brothers Holdings, Inc. Chapter 11 Proceeding</i> filed by Christopher K. Kiplok on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit A# 5 Exhibit B) (Kiplok, Christopher) (Entered: 09/19/2008)
59	09/29/2008	28	Motion to Assume <i>Trustee's Motion to Adopt and Incorporate by Reference an Order Establishing Procedures for Assumption and Assignment or Rejection of Executory Contracts and Unexpired Leases of Personal and Non-Residential Real Estate Property and Abandonment of Related Personal Property, as Entered in the Chapter 11 Proceedings</i> filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Attachments: # 1 Exhibit A# 2 Exhibit B) (Margolin, Jeffrey) (Entered: 09/29/2008)



60	10/10/2008	97	Counter Designation (appellee) of <i>Record and Counter Statement of Issues Presented on Appeal</i> filed by Sarah K. Loomis on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Loomis, Sarah) (Entered: 10/10/2008)
61	10/27/2008	179	Objection to Motion <i>Trustee's Limited Objection to Motion for Rule 60 Relief Concerning Certain Contracts Erroneously Posted with the "Closing Date Contracts"</i> (related document(s) 92) filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 11/5/2008 (check with court for location) (Margolin, Jeffrey) (Entered: 10/27/2008)
62	12/05/2008	387	Motion to Approve Compromise <i>Motion under 11 U.S.C. 105 and 363 and Fed. R. Bankr. P. 9019(a) for Entry of an Order Approving Settlement Agreement</i> filed by Christopher K. Kiplok on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Attachments: #1 Settlement Agreement, among Barclays Capital Inc., JPMorgan Chase Bank, N.A., and the Trustee #2 Declaration of Shari D. Leventhal in Support of Trustee's Motion for Entry of an Order Approving a Settlement Agreement #3 Declaration of Jeffrey M. Moore in Support of Trustee's Motion for Entry of an Order Approving a Settlement Agreement #4 Declaration of Gerard LaRocca in Support of the Trustee's Motion for Entry of an Order Approving a Settlement Agreement #5 Proposed Order Approving Settlement Agreement) (Kiplok, Christopher) (Entered: 12/05/2008)
63	12/08/2008	401	Ex Parte Order Signed on 12/8/2008 Authorizing JPMorgan Chase Bank, N.A., Barclays Capital Inc. and James W. Giddens, as Trustee in the Securities Investor Protection Act Liquidation of Lehman Brothers Inc. to File Under Seal Annex A to the Settlement Agreement. (Nulty, Lynda) (Entered: 12/08/2008)
64	12/08/2008	403	FILED UNDER SEAL: Annex A to the Settlement Agreement. (related document(s) 401) (Lopez, Mary). (Entered: 12/09/2008)

65	12/09/2008	404	Motion to File Under Seal/ <i>Ex Parte Joint Motion of JPMorgan Chase Bank, N.A., Barclays Capital Inc. and James W. Giddens, as Trustee in the Securities Investor Protection Act Liquidation of Lehman Brothers Inc. for Entry of an Order Authorizing the Movants to File Under Seal Annex A to the Settlement Agreement, dated December 5, 2008</i> (related document(s) 401) filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C) (Granfield, Lindsee) (Entered: 12/09/2008)
66	12/19/2008	453	Objection to Motion <i>Limited Objection Of Official Committee Of Unsecured Creditors Of Lehman Brothers Holdings Inc., et al. To SIPA Trustee's Motion Under 11 U.S.C. § 105 And 363 And Fed. R. Bankr. P. 9019(a) For Entry Of An Order Approving Settlement Agreement</i> (related document(s) 387) filed by James Tecce on behalf of Official Committee of Unsecured Creditors. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D) (Tecce, James) (Entered: 12/19/2008)
67	12/22/2008	464	Order signed on 12/22/2008 Approving Settlement Agreement Between Trustee, Barclays Capital Inc. and JPMorgan Chase Bank, N.A. (Related Doc # 387) (Lopez, Mary) (Entered: 12/22/2008)
68	01/22/2009	586	Motion to Approve Compromise <i>Trustee's Motion under Fed. R. Bankr. P. 9019(a) for Approval of Settlement and Compromise</i> filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 2/11/2009 at 10:00 AM at Courtroom 601 (JMP) Responses due by 2/6/2009, (Attachments: # 1 Settlement Agreement among Barclays Capital Inc., The Depository Trust & Clearing Corporation and the Trustee# 2 Exhibits to Settlement Agreement# 3 Proposed Order Approving Settlement Agreement) (Margolin, Jeffrey) (Entered: 01/22/2009)

69	01/23/2009	594	Ex Parte Order signed on 1/23/2009 Authorizing James W. Giddens, as Trustee In The Securities Investor Protection Act Liquidation of Lehman Brothers Inc. and Barclays Capital Inc. To File Under Seal Exhibit C To The Settlement Agreement. (Lopez, Mary) (Entered: 01/23/2009)
70	01/23/2009	596	Motion to File Under Seal/ <i>Ex Parte Joint Motion of James W. Giddens, as Trustee and Barclays Capital, Inc. For Entry of an Order Authorizing the Movants to File Under Seal Exhibit C to the Settlement Agreement, dated January 22, 2009</i> (related document(s) 586, 587, 594) filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C) (Granfield, Lindsee) (Entered: 01/23/2009)
71	01/26/2009	599	Document (UNDER SEAL): Exhibit C To Settlement Agreement Among Barclays Capital Inc., The Depository Trust & Clearing Corporation, and the SIPA Trustee (related document(s) 594, 596) (Ebanks, Liza). (Entered: 01/26/2009)
72	02/04/2009	651	Statement <i>Notice of Revised Exhibit to Proposed Settlement Agreement</i> (related document(s) 586) filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Margolin, Jeffrey) (Entered: 02/04/2009)
73	02/06/2009	660	Objection to Motion: <i>Debtors' Limited Objection to the Motion of Lehman Brothers Inc. for Approval of Settlement and Compromise</i> (related document(s) 586) filed by Harvey R. Miller on behalf of Lehman Brothers Holdings Inc.. (Miller, Harvey) (Entered: 02/06/2009)
74	02/06/2009	663	Response to Motion <i>Response Of Official Committee Of Unsecured Creditors Of Lehman Brothers Holdings Inc., et al. To SIPA Trustee's Motion Under Fed. R. Bankr. P. 9019(A) For Approval Of Settlement And Compromise Among Depository Trust And Clearing Corporation And Certain Of Its Subsidiaries And Barclays Capital Inc.</i> (related document(s) 586) filed by James Tecce on behalf of Official Committee of Unsecured Creditors. (Tecce, James) (Entered: 02/06/2009)

75	02/11/2009	690	Order signed on 2/11/2009 Approving Settlement Agreement Between Trustee, Barclays Capital Inc. and The Depository Trust & Clearing Corporation. (Related Doc # 586) (Lopez, Mary) (Entered: 02/11/2009)
76	02/17/2009	712	Transcript of Hearing Held on 2/11/09 at 10:01 a.m., Filed by Veritext, LLC (Richards, Beverly). (Entered: 02/19/2009)
77	04/02/2009	929	Motion to Approve <i>Trustee's Motion Pursuant to Sections 105(a) and 363(b) of the Bankruptcy Code for an Order Approving a Systems Access Agreement with Barclays Capital Inc.</i> filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 4/22/2009 at 10:00 AM at Courtroom 601 (JMP) Responses due by 4/17/2009, (Margolin, Jeffrey) (Entered: 04/02/2009)
78	04/22/2009	1018	Order Signed on 4/22/2009 Approving a Systems Access Agreement with Barclays Capital Inc. (Related Doc # 929) (Nulty, Lynda) (Entered: 04/22/2009)
79	09/15/2009	1682	Motion to Approve <i>The Trustee's Motion for Relief Pursuant to the Sale Orders or, Alternatively, for Certain Limited Relief Under Rule 60(b)</i> filed by William R. Maguire on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 10/15/2009 at 02:00 PM at Courtroom 601 (JMP) Responses due by 10/9/2009, (Maguire, William) (Entered: 09/15/2009)
80	09/15/2009	1692	FILED UNDER SEAL: Declaration of William R. Maguire in Support of the Trustee's Motion for Relief Pursuant to the Sale Orders or, Alternatively, for Certain Limited Relief Under Rule 60(b). (related document(s) 1321, 1684) (Lopez, Mary) (Entered: 09/16/2009)

81	09/24/2009	1742	Motion to Authorize/ <i>Motion Of Official Committee Of Unsecured Creditors Of Lehman Brothers Holdings Inc., et al., Pursuant To 11 U.S.C. Sections 105(a) and 107(a), To Unseal Motions For Relief From September 20, 2008 Sale Order (And Related SIPA Sale Order)</i> (related document(s) 1682, 1686) filed by James Tecce on behalf of Official Committee of Unsecured Creditors. with hearing to be held on 10/15/2009 at 02:00 PM at Courtroom 601 (JMP) Responses due by 10/15/2009, (Attachments: # (1) Exhibit 1# 2 Proposed Order) (Tecce, James) (Entered: 09/24/2009)
82	10/27/2009	1989	Scheduling Order Signed on 10/27/2009 Concerning Certain Motions Filed by LBHI, SIPA Trustee and Creditors Committee. (Nulty, Lynda) (Entered: 10/27/2009)
83	03/18/2010	2898	FILED UNDER SEAL: Debtors Reply Brief in Further Support of Its Motion For An Order, Pursuant To Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying The September 20, 2008 Sale Order and Granting Other Relief and In Opposition to Barclays Motion To Enforce The Sale Order and Secure Deliver of All Undelivered Assets. (related document(s) 1321, 1940) (Lopez, Mary) (Entered: 03/22/2010)
84	04/01/2010	2945	FILED UNDER SEAL: Memorandum of Barclays Capital Inc. in Opposition to Motion in Limine Seeking to Compel Admission of Disputed Facts with Exhibit A and Exhibit B. (related document(s) 1321) (Lopez, Mary) (Entered: 04/01/2010)
85	04/28/2011	4261	Memorandum of Law/ <i>Trustee's Memorandum Regarding the Proposed Orders Submitted by the Trustee and Barclays Capital Inc.</i> filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Maguire, William)
86	05/04/2011	4273	Reply to Motion/ <i>Trustee's Reply Memorandum Regarding the Proposed Orders Submitted by the Trustee and Barclays Capital Inc.</i> filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc. (Maguire, William)

87	07/12/2011	4393	Joint Motion for Stay Pending Appeal filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc., James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 7/27/2011 at 02:00 PM at Courtroom 601 Responses due by 7/20/2011, (Attachments: # 1 Joint Motion of Barclays Capital Inc. and the SIPA Trustee for Approval of Agreement on Terms of Stay and Security Agreement Pending Appeal #2 Exhibit 1 to the Joint Motion for Approval of Stay and Security Agreement #3 Exhibit 2 to the Joint Motion for Approval of Stay and Security Agreement # 4 Exhibit 3 to the Joint Motion for Approval of Stay and Security Agreement #5 Exhibit 4 to the Joint Motion for Approval of Stay and Security Agreement # 6 [Proposed] Order Granting Joint Motion for Approval of Agreement on Terms of Stay and Security Pending Appeal) (Schiller, Jonathan) (Entered: 07/12/2011)
88	07/12/2011	4394	Joint Motion to Join filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc., James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. with hearing to be held on 7/27/2011 at 02:00 PM at Courtroom 601 Responses due by 7/20/2011, (Attachments: # 1 Joint Motion of Barclays Capital Inc., Barclays Bank PLC and the SIPA Trustee for Joinder of Barclays Bank PLC as a Party to Pending Litigation# 2 [Proposed] Order Granting Joint Motion for Joinder of Barclays Bank PLC) (Schiller, Jonathan) (Entered: 07/12/2011)
89	07/14/2011	4403	Motion to Join/ <i>Joint Motion of Barclays Capital Inc., Barclays Bank PLC and the SIPA Trustee for Joinder of Barclays Bank PLC as a Party to Pending Litigation</i> filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Maguire, William) (Entered: 07/15/2011)
90	07/15/2011	4405	Order signed on 7/15/2011 Granting Joint Motion for Joinder of Barclays Bank PLC. (Related Doc # 4403) (Lopez, Mary) (Entered: 07/15/2011)
91	07/15/2011	4408	Order signed on 7/15/2011 Resolving the Trustee's Motion. (related document(s) 1682) (Lopez, Mary) (Entered: 07/15/2011)

92	07/15/2011	4409	Order signed on 7/15/2011 Resolving Barclays' Motion. (related document(s) 2582) (Lopez, Mary) (Entered: 07/15/2011)
93	07/15/2011	4410	Notice of Appeal filed by Jonathan D. Schiller on behalf of Barclays Bank PLC, Barclays Capital, Inc.. (Attachments: # 1 Exhibit A. Order Resolving Barclays' Motion# 2 Exhibit B. Order Resolving The Trustee's Motion# 3 Exhibit C. Order Resolving The Trustee's Amended Adversary Complaint# 4 Exhibit D. Judgment For The Trustee)(Schiller, Jonathan) (Entered: 07/15/2011)
94	07/29/2011	4448	Designation of Contents (appellant). Barclays Capital Inc. and Barclays Bank PLC's Statement of Issues Presented on Appeal and Designation of Items to be Included in the Record on Appeal in Connection with Its Appeal from the Orders and Judgment of the Bankruptcy Court Entered on July 15, 2011 filed by Jonathan D. Schiller on behalf of Barclays Bank PLC, Barclays Capital, Inc.. (Schiller, Jonathan) (Entered: 07/29/2011)

**Documents Filed in Case No. 08-13555 (JMP)**  
**(In re Lehman Brothers Holdings, Inc.)**

<b>Counter-Designation No.</b>	<b>Filing Date</b>	<b>Docket No.</b>	<b>Description (Docket Text)</b>
95	09/15/2008	1	<i>Voluntary Petition</i> (Chapter 11). Order for Relief Entered. Filed by Harvey R. Miller of Weil, Gotshal & Manges, LLP on behalf of Lehman Brothers Holdings Inc.. (Miller, Harvey) (Entered: 09/15/2008)
96	09/17/2008	88	Order Signed on 9/17/2008 (I) Approving the Break-Fee and Expense Reimbursement, (II) Certain Matters Relating to Competing Bids, If Any, (III) Approving the Form and Manner of Sale Notices and (IV) Setting the Sale Hearing Date in Connection with the Sale of Certain of the Debtors' Assets. The Sale Hearing Will Be Held On 9/19/2008 at 4:00 PM in Courtroom 601. (Related Doc # 60) (Nulty, Lynda) (Entered: 09/17/2008)
97	09/29/2008	430	Joint Motion to File Under Seal/ <i>Joint Motion of the Debtors and Barclays Capital Inc for Entry of an Order Authorizing to File Under Seal Certain Schedules to the Asset Purchase Agreement, dated September 29, 2008, with Exhibit A (Proposed Order)</i> , filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc.. (Granfield, Lindsee) (Entered: 09/29/2008)
98	10/03/2008	568	Notice of Presentment of <i>Order Authorizing the Debtors and Barclays Capital Inc. to File Under Seal Certain Schedules to the Purchase Agreement, dated October 3, 2008 with Proposed Order</i> (related document(s) 430) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. with presentment to be held on 10/9/2008 (check with court for location). Objections due by 10/8/2008, (Schweitzer, Lisa) (Entered: 10/03/2008)



99	10/08/2008	763	Objection/ <i>Limited Objection of the Official Committee of Unsecured Creditors to Joint Motion of the Debtors and Barclays Capital Inc. for Entry of an Order Authorizing to File Under Seal Certain Schedules to the Asset Purchase Agreement</i> (related document(s) 430) filed by Dennis F. Dunne on behalf of Official Committee of Unsecured Creditors. (Dunne, Dennis) (Entered: 10/08/2008)
100	10/09/2008	774	Designation of Contents (appellant)/ <i>Appellant Informal Noteholder Group's Designation of Record on Appeal and Statement of Issues to Be Presented on Appeal</i> (related document(s) 427) filed by Michael Scott Stamer on behalf of Informal Noteholder Group. (Stamer, Michael) (Entered: 10/09/2008)
101	10/10/2008	809	Motion to Reconsider FRCP 60 or FRBP 3008/ <i>Notice of Barclays Capital Inc. for Relief Concerning Certain Contracts Erroneously Posted with the "Closing Date Contracts", dated October 10, 2008</i> filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. with hearing to be held on 11/5/2008 (check with court for location) Responses due by 10/27/2008, (Attachments: # 1 Exhibit 1 to Exhibit A) (Schweitzer, Lisa) (Entered: 10/10/2008)
102	10/10/2008	812	<i>Declaration of Eszter Farkas, dated October 9, 2008</i> (related document(s) 809) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D) (Schweitzer, Lisa) (Entered: 10/10/2008)
103	10/15/2008	999	<i>Reply to Motion/Reply of Barclays Capital Inc. to Objections to Order Authorizing the Debtors and Barclays Capital Inc. to File Under Seal Certain Schedules to the Asset Purchase Agreement, dated October 15, 2008 (with Exhibit A) (related to 568, 755, 763);</i> (related document(s) 430) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc.. (Schweitzer, Lisa) (Entered: 10/15/2008)

104	10/15/2008	1042	Statement/ <i>Notice of Filing of Summary Concerning Schedules to Clarification Letter, dated October 15, 2008, (related document(s) 568, 999), (related document(s) 430)</i> filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc.. (Granfield, Lindsee) (Entered: 10/15/2008)
105	10/28/2008	1232	Motion to Extend Deadline to File Schedules or Provide Required Information: <i>Debtors' Motion Pursuant to Bankruptcy Rule 1007(c) to Further Extend the Time to File the Debtors' Schedules, Statements of Financial Affairs, and Related Documents</i> filed by Shai Waisman on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 11/18/2008 at 10:00 AM at Courtroom 601 (JMP) Objections due by 11/13/2008, (Waisman, Shai) (Entered: 10/28/2008)
106	10/28/2008	1234	Bridge Order Signed on 10/28/2008 Extending the Time to File the Debtor's Schedules, Statements of Financial Affairs, and Related Documents. (related document(s) 1232) (Nulty, Lynda) (Entered: 10/28/2008)
107	12/18/2008	2303	FILED UNDER SEAL: Schedules A and B to Clarifying Letter Agreement, filed by Lindsee P. Granfield on behalf of Barclays Capital, Inc. (related document(s) 2290) (Lopez, Mary) Modified on 12/18/2008 (Richards, Beverly). (Entered: 12/18/2008)
108	12/15/2009	5148	Motion to Approve/ <i>Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief</i> filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 10/15/2009 at 02:00 PM at Courtroom 601 (JMP) Responses due by 10/9/2009, (Rosenblum, Benjamin) (Entered: 09/15/2009)

109	9/15/2009	5169	Motion to Approve/ <i>Motion of Official Committee Of Unsecured Creditors of Lehman Brothers Holdings Inc., et al., Pursuant to 11 U.S.C. Section 105(A), Fed. R. Civ. P. 60(B), and Fed. R. Bankr. P. 9024, for Relief From Order Under 11 U.S.C. Sections 105(A), 363, and 365 and Federal Rules of Bankruptcy Procedure 2002, 6004 and 6006 Authorizing and Approving (A) Sale of Purchased Assets Free and Clear of Liens and Other Interests and (B) Assumption and Assignment of Executory Contracts and Unexpired Leases, Dated September 20, 2008 (and Related SIPA Sale Order) and Joinder In Debtors' And SIPA Trustees Motions For An Order Under Rule 60(B) To Modify Sale Order</i> filed by James Tecce on behalf of Official Committee of Unsecured Creditors. with hearing to be held on 10/15/2009 at 02:00 PM at Courtroom 601 (JMP) Responses due by 10/9/2009, (Attachments: # 1 Motion) (Tecce, James) (Entered: 09/15/2009)
110	11/04/2009	5714	Notice of Presentment of Confidentiality Stipulation and Protective Order Among Barclays Capital Inc., Lehman Brothers Holdings, Inc., and Weil, Gotshal & Manges LLP filed by Shai Waisman on behalf of Lehman Brothers Holdings Inc.. with presentment to be held on 11/12/2009 at 12:00 PM at Courtroom 601 (JMP) Objections due by 11/12/2009, (Waisman, Shai) (Entered: 11/04/2009)
111	11/16/2009	5844	Adversary case 09-01733. Complaint against Lehman Brothers Holdings Inc., Lehman Brothers, Inc., LB 745 LLC, Barclays Capital Inc. / <i>Complaint for Declaratory Relief Pursuant to 11 U.S.C. Section 105(a) and 28 U.S.C. Sections 2201, 2202 and for an Accounting.</i> Nature(s) of Suit: (91 (Declaratory judgment)) Filed by James Tecce, Susheel Kirpalani, The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc on behalf of The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc. (Tecce, James) (Entered: 11/16/2009)
112	08/30/2010	11071	Letter/ <i>Letter Brief</i> filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Attachments: # 1 Exhibit A# 2 Exhibit B)(Hine, William) (Entered: 08/30/2010)

113	10/04/2010	11781	Letter <i>Brief in Support of Barclays' Motion to Admit PwC Exhibits</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit Exhibits 1-12)(Schiller, Jonathan) (Entered: 10/04/2010)
114	10/06/2010	11849	Letter/ <i>LBHI Letter Brief re Admissibility of PwC Documents</i> (related document(s) 11781) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Attachments: # 1 Movants' Objections to Barclays Capital Inc.'s Proposed PwC Exhibits (As of 10/3/10))(Hine, William) (Entered: 10/06/2010)
115	05/05/2011	16612	Notice of Hearing/ Notice of Status Conference on Proposed Orders Related to Court's February 22, 2011 Opinion and Related Matters (related document(s) 14612) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 5/9/2011 at 02:00 PM at Courtroom 601 (JMP) (Hine, William) (Entered: 05/05/2011)

**Documents Filed in Case No. 09-01731 (JMP)**  
**(Lehman Brothers Holdings, Inc. v. Barclays Capital, Inc.)**

<b>Designation No.</b>	<b>Filing Date</b>	<b>Docket No.</b>	<b>Description (Docket Text)</b>
116	05/18/2011	7	Motion for Summary Judgment/ <i>LBHI's Memorandum of Law in Support of Its Motion for Summary Judgment on Count II of Its Adversary Complaint Against Barclays Capital Inc.</i> filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 7/19/2011 at 02:00 PM at Courtroom 601 (JMP) Responses due by 6/17/2011, (Attachments: # 1 Notice of Motion for Summary Judgment# 2 Rule 56 Statement) (Hine, William) (Entered: 05/18/2011)
117	05/18/2011	8	<i>Declaration of William J. Hine in Support of LBHI's Motion for Summary Judgment on Count II of Its Adversary Complaint</i> (related document(s) 7) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 7/19/2011 at 02:00 PM at Courtroom 601 (JMP) Objections due by 6/17/2011, (Attachments: # 1 Exhibit 1# 2 Exhibits 2 - 13# 3 Exhibits 14 - 27# 4 Exhibits 28 - 40) (Hine, William) (Entered: 05/18/2011)
118	06/22/2011	10	<i>Opposition Barclays' Memorandum in Opposition to LBHI's Motion and in Support of Barclays' Motion for Summary Judgment on Count II of LBHI's Adversary Complaint</i> (related document(s) 7) filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. with hearing to be held on 9/7/2011 (check with court for location) Objections due by 7/20/2011, Reply due by 8/5/2011, (Attachments: # 1 Notice of Motion# 2 Response to LBHI's 56.1 Statement# 3 Barclays' 56.1 Statement# 4 Declaration of Hamish P.M. Hume# 5 Exhibit 1-4# 6 Exhibit 5-14# 7 Exhibit 15# 8 Exhibit 16-19# 9 Exhibit 20-35# 10 Exhibit 36-53) (Schiller, Jonathan) (Entered: 06/22/2011)

119	07/20/2011	12	Reply to Motion/ <i>LBHI's Reply Memorandum of Law in Further Support of Its Motion for Summary Judgment and in Opposition to Barclays Capital, Inc.'s Cross Motion for Summary Judgment</i> (related document(s) 7) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. (Attachments: # 1 Rule 56 Response) (Hine, William) (Entered: 07/20/2011)
120	07/20/2011	13	Declaration/ <i>Supplemental Declaration of William J. Hine in Support of LBH's Motion for Summary Judgment on Count II of Its Adversary Complaint</i> (related document(s) 12) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc.. with hearing to be held on 9/7/2011 (check with court for location) (Attachments: # 1 Exhibits 1-3) (Hine, William) (Entered: 07/20/2011)
121	08/05/2011	15	Reply to Motion <i>Barclays Reply Memorandum In Support Of Its Motion For Summary Judgment</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc.. (Attachments: # 1 Exhibit A) (Schiller, Jonathan) (Entered: 08/05/2011)

**Documents Filed in Case No. 09-01732 (JMP)**  
*(James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.  
v. Barclays Capital Inc. and Barclays Bank PLC)*

<b>Counter-Designation No.</b>	<b>Filing Date</b>	<b>Docket No.</b>	<b>Description (Docket Text)</b>
122	11/16/2009	1	Complaint against Barclays Capital Inc. . Nature(s) of Suit: (91 (Declaratory judgment)), (11 (Recovery of money/property - 542 turnover of property)), (14 (Recovery of money/property - other)) Filed by James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Maguire, William) (Entered: 11/16/2009)
123	1/13/2010	5	So Ordered Stipulation and Order Signed on 1/13/2010 Between the Debtors, Trustee, Committee and Barclays Capital Inc. Concerning Certain Claims Made in Adversary Complaints Filed by LBHI, SIPA Trustee and Creditors Committee. (Nulty, Lynda) (Entered: 01/13/2010)
124	07/15/2011	8	Motion to Join / <i>Joint Motion of Barclays Capital Inc., Barclays Bank PLC and the SIPA Trustee for Joinder of Barclays Bank PLC as a Party to Pending Litigation</i> filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Maguire, William) (Entered: 07/15/2011)
125	07/15/2011	9	Stipulation and Order signed on 7/15/2011 Amending the Trustee's Adversary Complaint. (Lopez, Mary) (Entered: 07/15/2011)
126	07/15/2011	10	Order signed on 7/15/2011 Granting Joint Motion for Joinder of Barclays Bank PLC. (Related Doc # 8) (Lopez, Mary) (Entered: 07/15/2011)
127	07/15/2011	11	Amended Complaint against Barclays Capital Inc. Filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc.. (Maguire, William) (Entered: 07/15/2011)
128	07/15/2011	12	Order signed on 7/15/2011 Resolving The Trustee's Amended Adversary Complaint. (Lopez, Mary) (Entered: 07/15/2011)
129	07/15/2011	13	Judgment for the Trustee signed on 7/15/2011 (White, Greg) (Entered: 07/15/2011)

130	07/15/2011	14	Notice of Appeal filed by Jonathan D. Schiller on behalf of Barclays Capital Inc.. (Attachments: # 1 Exhibit A. Order Resolving Barclays' Motion # 2 Exhibit B. Order Resolving The Trustee's Motion # 3 Exhibit C. Order Resolving The Trustee's Amended Adversary Complaint # 4 Exhibit D. Judgment For The Trustee)(Schiller, Jonathan) (Entered: 07/15/2011)
131	07/15/2011	15	Judgment for Barclays Capital Inc. signed on 7/15/2011 (White, Greg) (Entered: 07/15/2011)
132	07/22/2011	18	Notice of Appeal / <i>Notice of Cross Appeal and Appeal</i> filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc. (Maguire, William) (Entered: 07/22/2011)



**Documents Filed in Case No. 09-01733 (JMP)**  
***(The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc., et al.  
v. Lehman Brothers Holdings, Inc., Lehman Brothers Inc., LB 745 LLC, and  
Barclays Capital, Inc.)***

<b>Counter-Designation No.</b>	<b>Filing Date</b>	<b>Docket No.</b>	<b>Description (Docket Text)</b>
133	11/16/2009	1	Adversary case 09-01733. Complaint against Lehman Brothers Holdings Inc., Lehman Brothers, Inc., LB 745 LLC, Barclays Capital Inc./ Complaint for Declaratory Relief Pursuant to 11 U.S.C. Section 105(a) and 28 U.S.C. Sections 2201, 2202 and for an Accounting. Nature(s) of Suit: (91 (Declaratory judgment)) Filed by James Tecce, Susheel Kirpalani, The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc on behalf of The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc. (Tecce, James) (Entered: 11/16/2009)
134	01/06/2010	3	So Ordered Stipulation and Order Signed on 1/6/2010 Between the Debtors, Trustee, Committee and Barclays Capital Inc. Concerning Certain Claims Made in Adversary Complaints Filed by LBHI, SIPA Trustee and Creditors Committee. (Nulty, Lynda) (Entered: 01/06/2010)
135	07/15/2011	4	Order signed on 7/15/2011 Dismissing Count 1, Count II, and Count III in Creditors' Committee's Adversary Complaint. (Lopez, Mary) (Entered: 07/15/2011)
136	07/28/2011	5	Notice of Appeal (related document(s) 4) filed by James Tecce on behalf of The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc. (Attachments: # 1 Exhibit A# 2 Exhibit B)(Tecce, James) (Entered: 07/28/2011)

**Additional Materials<sup>1</sup>**

<b>Counter-Designation No.</b>	<b>Document Date</b>	<b>Description</b>
<b>Transcripts</b>		
137	12/16/2009	Veritext Transcript of Hearing
138	4/11/2011	Veritext Transcript and Audio-Recording of Hearing
139	05/09/2011	Veritext Transcript and Audio-Recording of Hearing
140	06/06/2011	Corrected Veritext Transcript and Audio-Recording of Hearing
<b>Oral Argument Materials (Submitted to Court)</b>		
141	04/09/2010	LBHI Argument Materials for April 9, 2010 Hearing
142	04/09/2010	Trustee's Selection of Key Documents
143	04/09/2010	Presentation of the Official Committee of Unsecured Creditors in Support of its Motion for Rule 60(B) Relief
144	04/09/2010	Barclays' Demonstrative Exhibits Relating to Rule 60(b) Motions
145	09/10/2010	Movants' Argument Materials for Hearing on Motions to Exclude Experts
146	09/10/2010	Barclays' Demonstratives for Hearing on Motions to Exclude Experts
147	10/21/2010	LBHI Argument Materials for Closing
148	10/21/2010	Trustee's Closing Argument Binder
149	10/21/2010	Closing Arguments Of The Official Committee Of Unsecured Creditors In Support Of Its Motion For Rule 60(B) Relief
150	10/21/2010	Barclays' Rule 60(b) Closing Book
<b>Correspondence to Hon. James M. Peck, U.S.B.J.</b>		
151	03/25/2010	Letter from J. Schiller to Hon. James M. Peck, U.S.B.J.
152	03/25/2010	Letter from R. Gaffey to Hon. James M. Peck, U.S.B.J.
153	08/30/2010	Letter Brief from J. Schiller to Hon. James M. Peck, U.S.B.J.
154	08/30/2010	Letter Brief from R. Gaffey to Hon. James M. Peck, U.S.B.J.
155	10/19/2010	Letter from C. Green to Hon. James M. Peck, U.S.B.J.
156	12/20/2010	Letter from J. Schiller to Hon. James M. Peck, U.S.B.J.
157	03/04/2011	Letter from J. Schiller to Hon. James M. Peck, U.S.B.J.

<sup>1</sup> Pursuant to L. R. Bankr. P. 8007-1(b), and in consideration of the unusual bulk and volume of the "Additional Materials" listed herein, counsel for Appellee shall maintain custody of the same until such time as it can arrange for delivery to the District Court.

158	03/04/2011	Letter from J. Tecce to Hon. James M. Peck, U.S.B.J.
159	03/04/2011	Letter from R. Gaffey to Hon. James M. Peck, U.S.B.J.
<b>Stipulations</b>		
160	12/02/2009	Stipulation Regarding Expert Discovery

Dated: August 25, 2011  
New York, New York

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